

Plato, Brouwer, and classification

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Logic Colloquium, UConn, Oct. 9, 2020

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My collaborators are not guilty of my opinions.

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The final sentence is somewhat paradoxical as follows.

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Now compare this to 'continuity-via-codes' in L_2 from SOSOA:

II.6. CONTINUOUS FUNCTIONS

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DEFINITION II.6.1 (continuous functions). Within RCA_0 , let \widehat{A} and \widehat{B} be complete separable metric spaces. A (code for a) *continuous partial function* ϕ from \widehat{A} to \widehat{B} is a set of quintuples $\Phi \subseteq \mathbb{N} \times A \times \mathbb{Q}^+ \times B \times \mathbb{Q}^+$ which is required to have certain properties. We write $(a, r)\Phi(b, s)$ as an abbreviation for $\exists n ((n, a, r, b, s) \in \Phi)$. The properties which we require are:

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Problem solved: using codes as in Def. II.6.1 or plain ε - δ -continuity yields the 'same theorems', assuming WKL.

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Theorem (Arzela, 1885)

Let $f_n : ([0, 1] \times \mathbb{N}) \rightarrow \mathbb{R}$ be a sequence such that

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See arxiv: Normann-Sanders, **On the uncountability of \mathbb{R}** .

Part I: hubris

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Part II: catharsis

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Part III: Brouwer and Plato

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Higher-order RM is **not the full answer**, as our answer to Q3 shows.

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No unique/unambiguous minimal collection of axioms!

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Following Kreuzer and others, we have studied **open sets in \mathbb{R} via (third-order) characteristic functions**. The following thms then behave **in the same way** as PIT_o :

- 1 Urysohn lemma
- 2 Tietze extension theorem
- 3 Cantor-Bendixson theorem
- 4 Baire-Category theorem
- 5 ...

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Switching to L_ω and Kohlenbach's higher-order RM seems to create **other problems** involving **minimal axioms and countable choice**.

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The aim of RM is: **to find the minimal axioms necessary for proving a theorem of ordinary mathematics**.

(Q2) What **scale** does 'minimal' refer to and why choose that one?

Gödel hierarchy

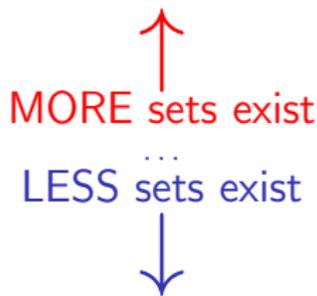
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It is striking that a great many foundational theories are linearly ordered by [consistency strength] $<$. Of course it is possible to construct pairs of artificial theories which are incomparable under $<$. However, this is not the case for the "natural" or non-artificial theories which are usually regarded as significant in the foundations of mathematics.

(Simpson, Gödel Centennial Volume; also: Koelner, Burgess, Friedman, . . .)

Gödel hierarchy

= 'comprehension'
hierarchy



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Gödel hierarchy

Zermelo-Fraenkel set theory with choice
aka 'the' foundation of mathematics

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Hilbert-Bernays's **Grundlagen**
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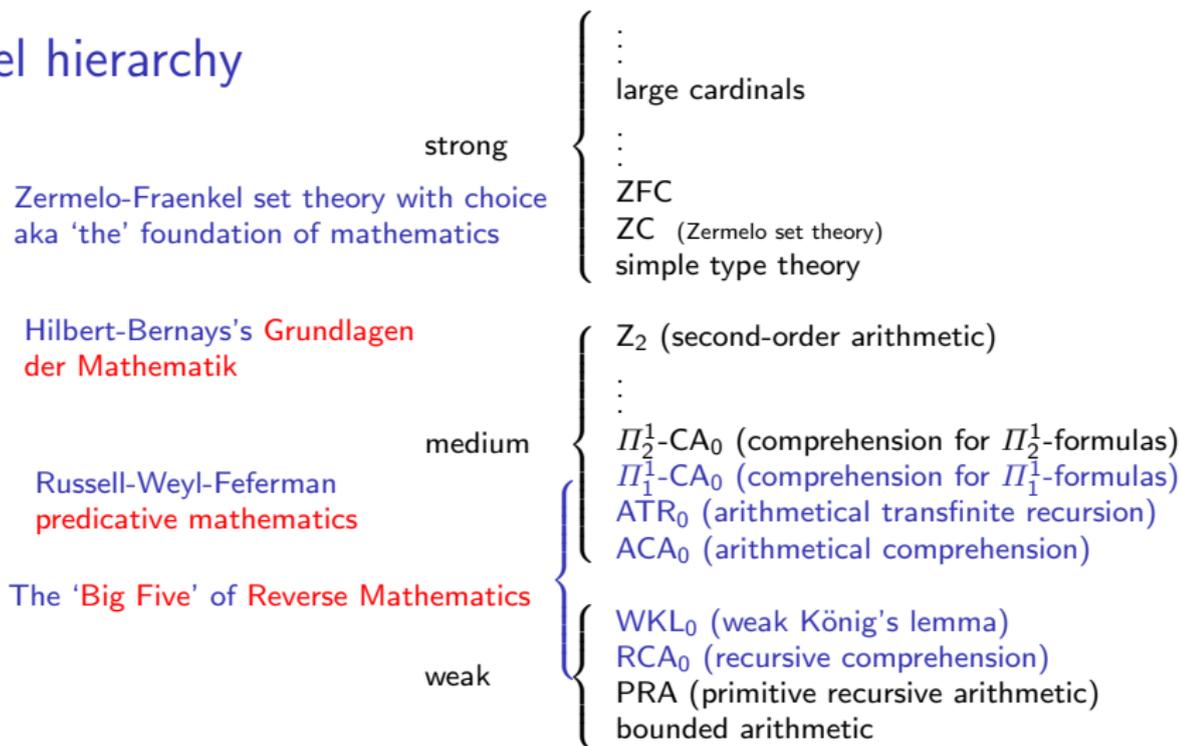
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Received view: natural/important systems form linear Gödel hierarchy

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and 80/90% of ordinary mathematics is provable in ACA₀/ Π_1^1 -CA₀.

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ν -functional produces witness to $(\exists f : \mathbb{N} \rightarrow \mathbb{N})A(f)$, yielding Z_2 .

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Part I: hubris

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Part II: catharsis

oooo●ooo

Part III: Brouwer and Plato

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Theorem (NIN, see Kunen)

For $Y : [0, 1] \rightarrow \mathbb{N}$, there are $x, y \in [0, 1]$ s.t. $x \neq y \wedge Y(x) = Y(y)$

Theorem (NBI, see Hrbacek-Jech)

*For $Y : [0, 1] \rightarrow \mathbb{N}$, there are distinct $x, y \in [0, 1]$ such that $Y(x) = Y(y)$ **OR** there is $n \in \mathbb{N}$ with $(\forall x \in [0, 1])(Y(x) \neq n)$.*

Uncountability of \mathbb{R}

Cantor (1874): for any sequence of reals $(x_n)_{n \in \mathbb{N}}$, there is $y \in \mathbb{R}$ such that $x_n \neq y$ for all $n \in \mathbb{N}$.

To avoid the anti-platonist ire of Kronecker-Weierstrass, Cantor (1874) only mentions that \mathbb{R} and \mathbb{N} are 'therefore' not one-to-one.

How hard is it to prove the 'real' uncountability of \mathbb{R} as follows?

Theorem (NIN, see Kunen)

For $Y : [0, 1] \rightarrow \mathbb{N}$, there are $x, y \in [0, 1]$ s.t. $x \neq y \wedge Y(x) = Y(y)$

Theorem (NBI, see Hrbacek-Jech)

For $Y : [0, 1] \rightarrow \mathbb{N}$, there are distinct $x, y \in [0, 1]$ such that $Y(x) = Y(y)$ OR there is $n \in \mathbb{N}$ with $(\forall x \in [0, 1])(Y(x) \neq n)$.

These are provable in Z_2^Ω but not in Z_2^ω (and the weakest such).

Two nice observations about the uncountability of \mathbb{R}

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In contrast to the modern era, Weierstrass changed his mind in light of Cantor’s work. . .

Countable sets versus sets that are countable

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Warning: same for ‘countable’ combinatorics and the RM zoo!

Part I: hubris

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Part II: catharsis

oooooooo●

Part III: Brouwer and Plato

oooooooo

Problem, cause, and solution

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PROBLEM: hundreds of **intuitively weak** **third-order** theorems are classified as **rather strong** qua **third-order** comprehension, i.e. not provable in Z_2^ω and provable in Z_2^Ω , for $Z_2 \equiv_{L_2} Z_2^\omega \equiv_{L_2} Z_2^\Omega$.

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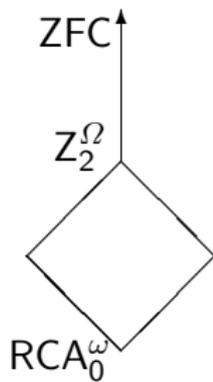
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SOLUTION: split the hierarchy below Z_2^Ω in normal and non-normal part.

Normal part with hierarchy $\Pi_k^1\text{-CA}_0^\omega$ and **discontinuous** functionals ν_k . (Kohlenbach)



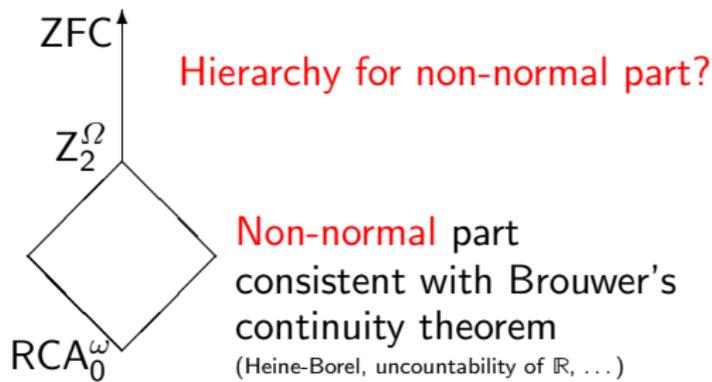
Non-normal part consistent with Brouwer's continuity theorem (Heine-Borel, uncountability of \mathbb{R} , ...)

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For any formula A , we have

$$(\forall f \in \mathbb{N}^{\mathbb{N}})(\exists n \in \mathbb{N})A(\bar{f}n) \rightarrow (\exists \gamma \in K_0)(\forall f \in \mathbb{N}^{\mathbb{N}})A(\bar{f}\gamma(f)),$$

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Note that $\bar{f}n$ is the finite sequence $\langle f(0), f(1), \dots, f(n-1) \rangle$.

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NFP expresses that there are (many) **continuous** choice functions.

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The second item reminds one of **Plato's allegory of the cave**.

Plato and his -ism

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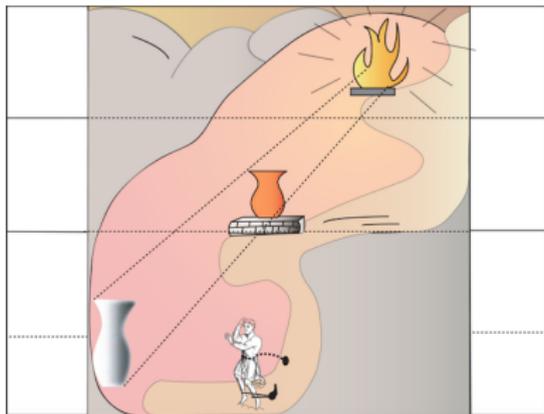
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Plato's **allegory of the cave** provides a powerful visual:

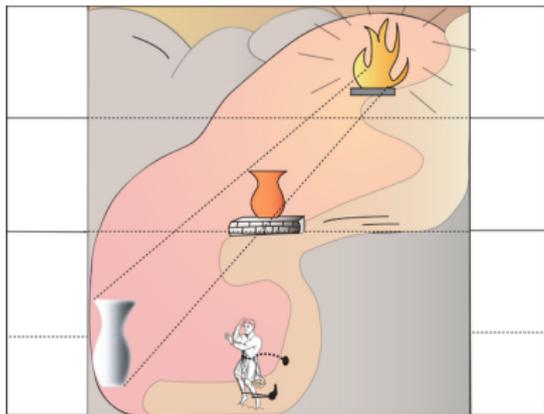


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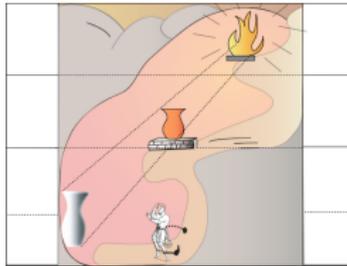
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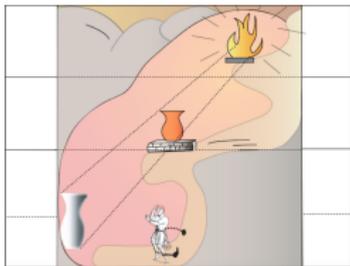
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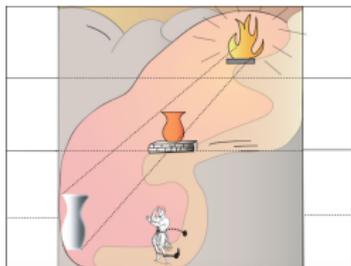


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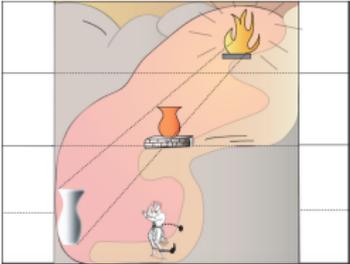
Big Five
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ECF

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ECF is canonical embedding of HOA into SOA (Kleene-Kreisel).

Part I: hubris

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Part II: catharsis

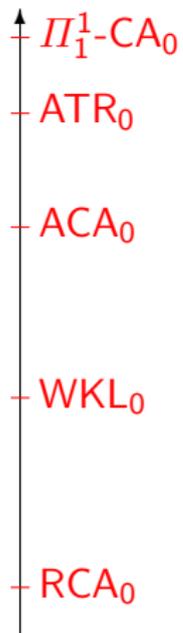
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Part III: Brouwer and Plato

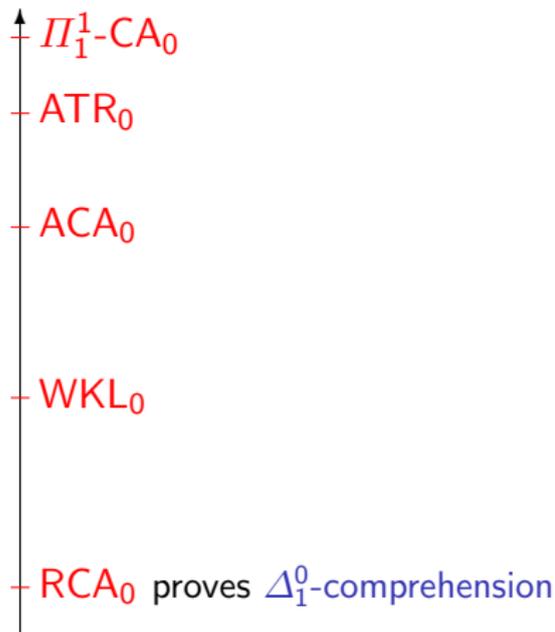
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The Big Five as a reflection

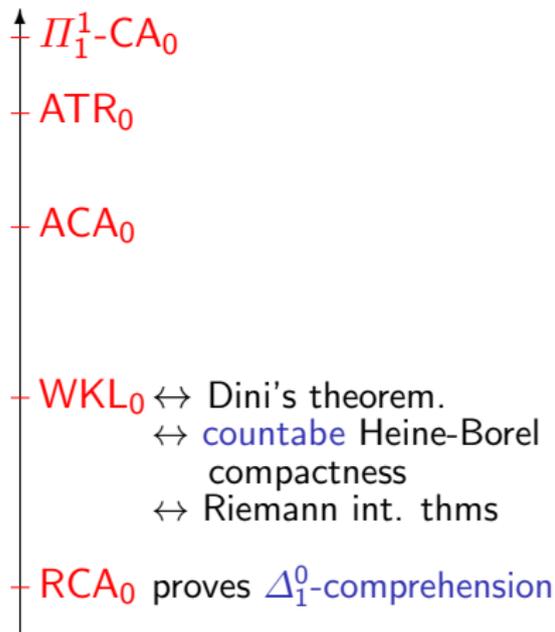
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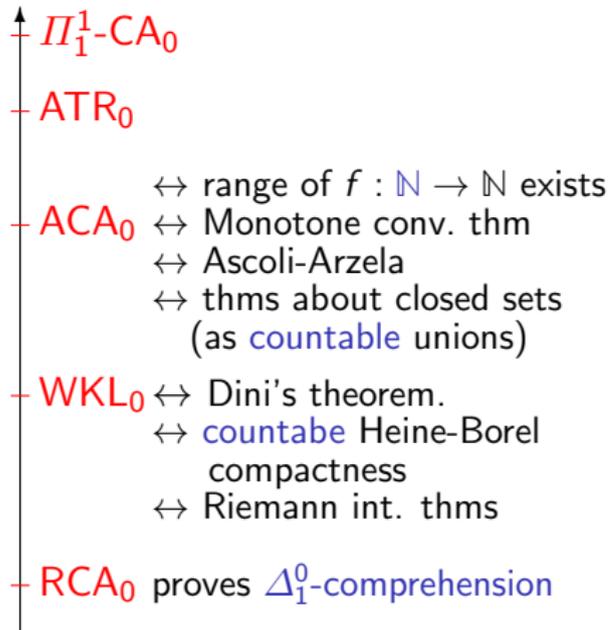
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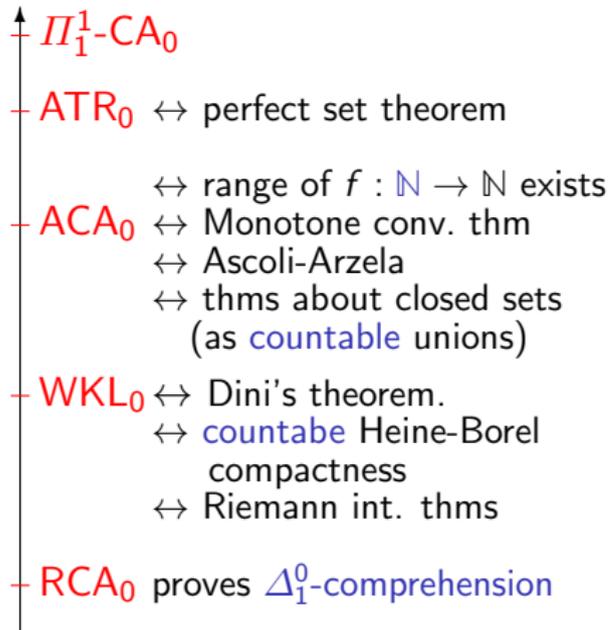
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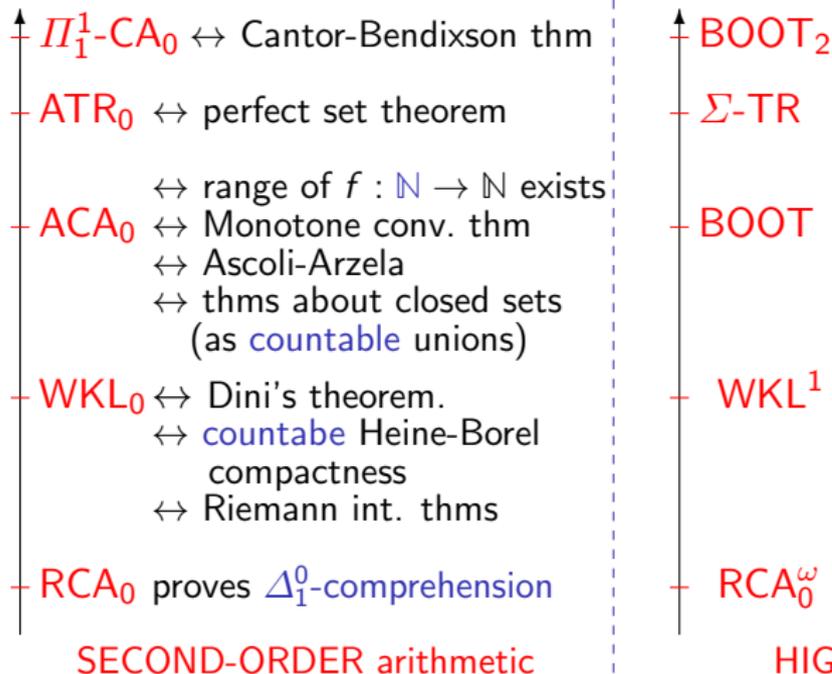


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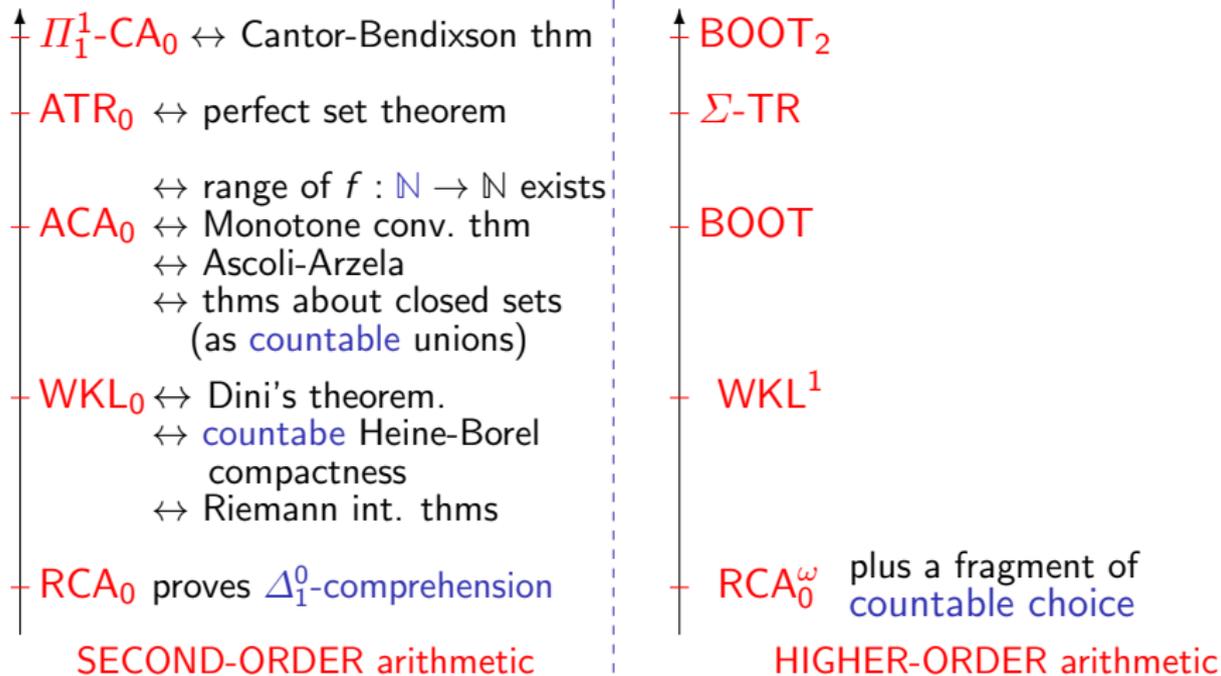
- ↑ $\Pi_1^1\text{-CA}_0 \leftrightarrow$ Cantor-Bendixson thm
- $\text{ATR}_0 \leftrightarrow$ perfect set theorem
- $\text{ACA}_0 \leftrightarrow$ range of $f : \mathbb{N} \rightarrow \mathbb{N}$ exists
- $\text{ACA}_0 \leftrightarrow$ Monotone conv. thm
- $\text{ACA}_0 \leftrightarrow$ Ascoli-Arzelà
- $\text{ACA}_0 \leftrightarrow$ thms about closed sets
(as countable unions)
- $\text{WKL}_0 \leftrightarrow$ Dini's theorem.
- $\text{WKL}_0 \leftrightarrow$ countable Heine-Borel compactness
- $\text{WKL}_0 \leftrightarrow$ Riemann int. thms
- RCA_0 proves Δ_1^0 -comprehension

SECOND-ORDER arithmetic

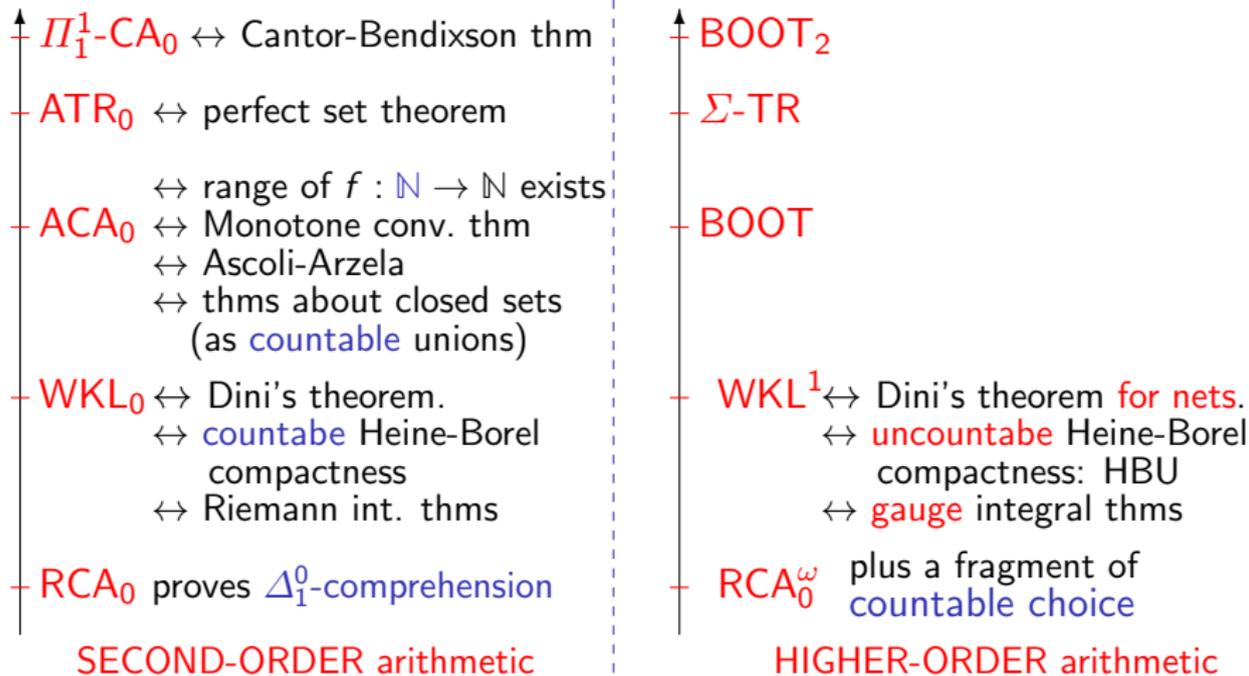
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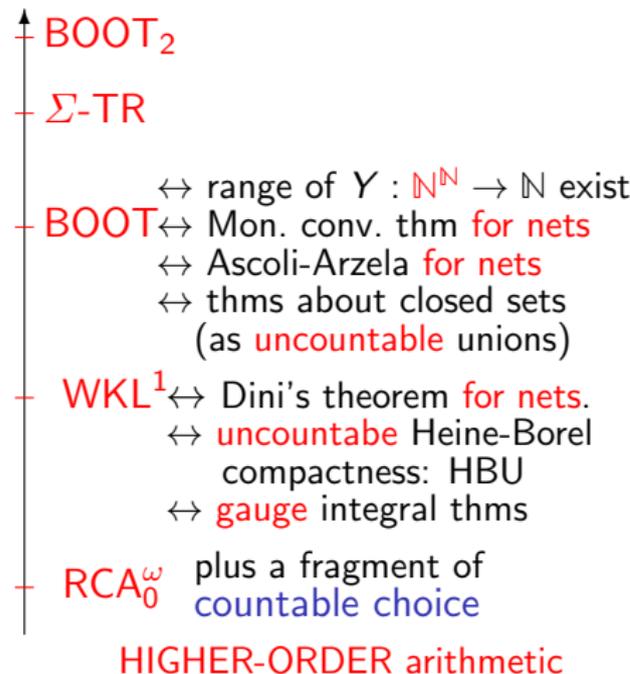
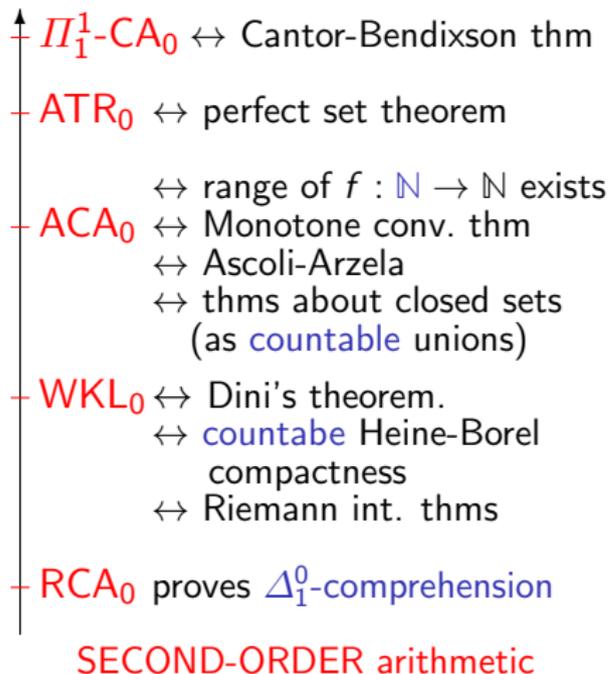
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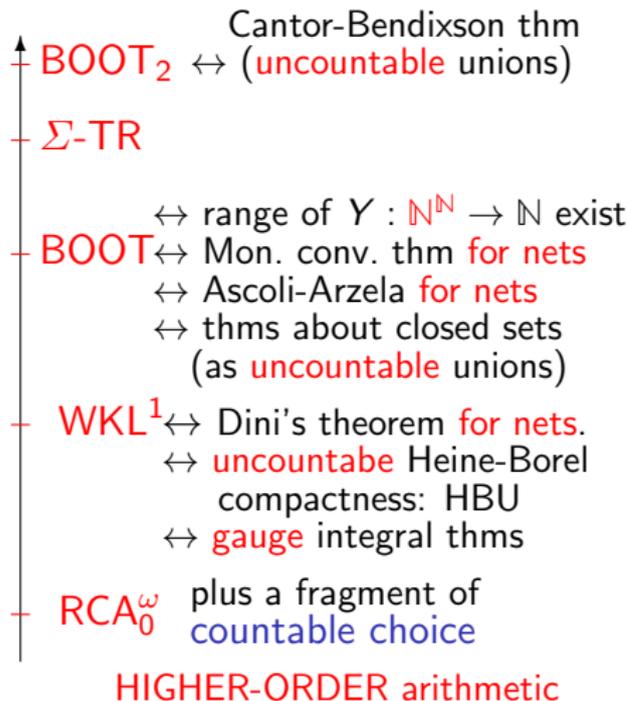
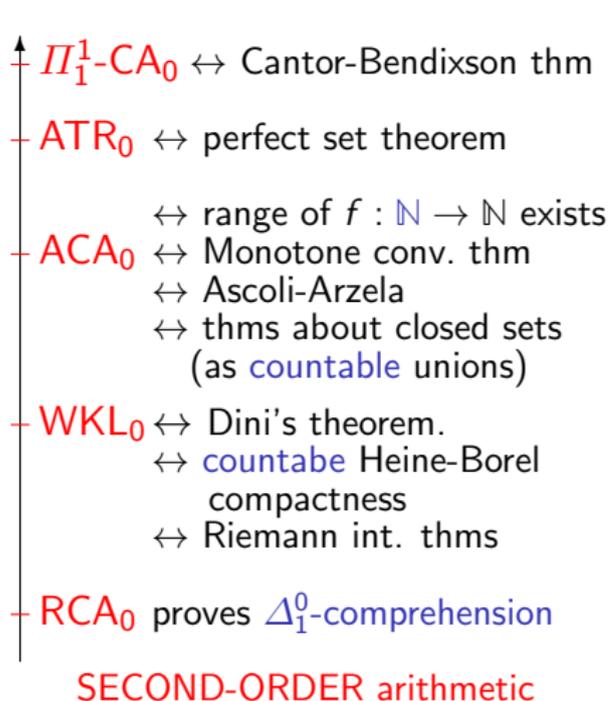
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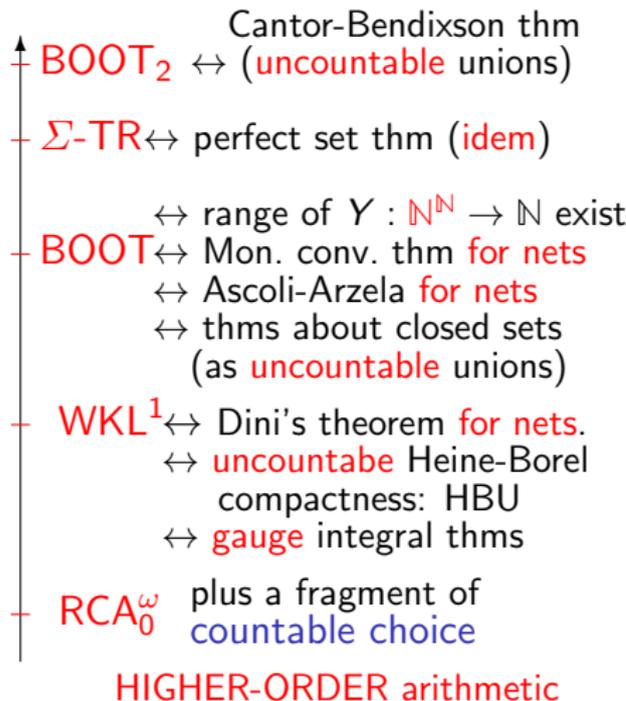
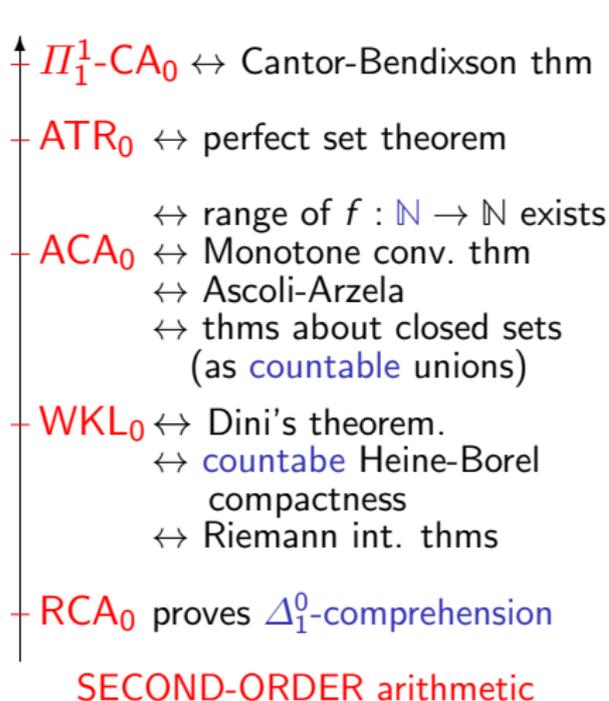
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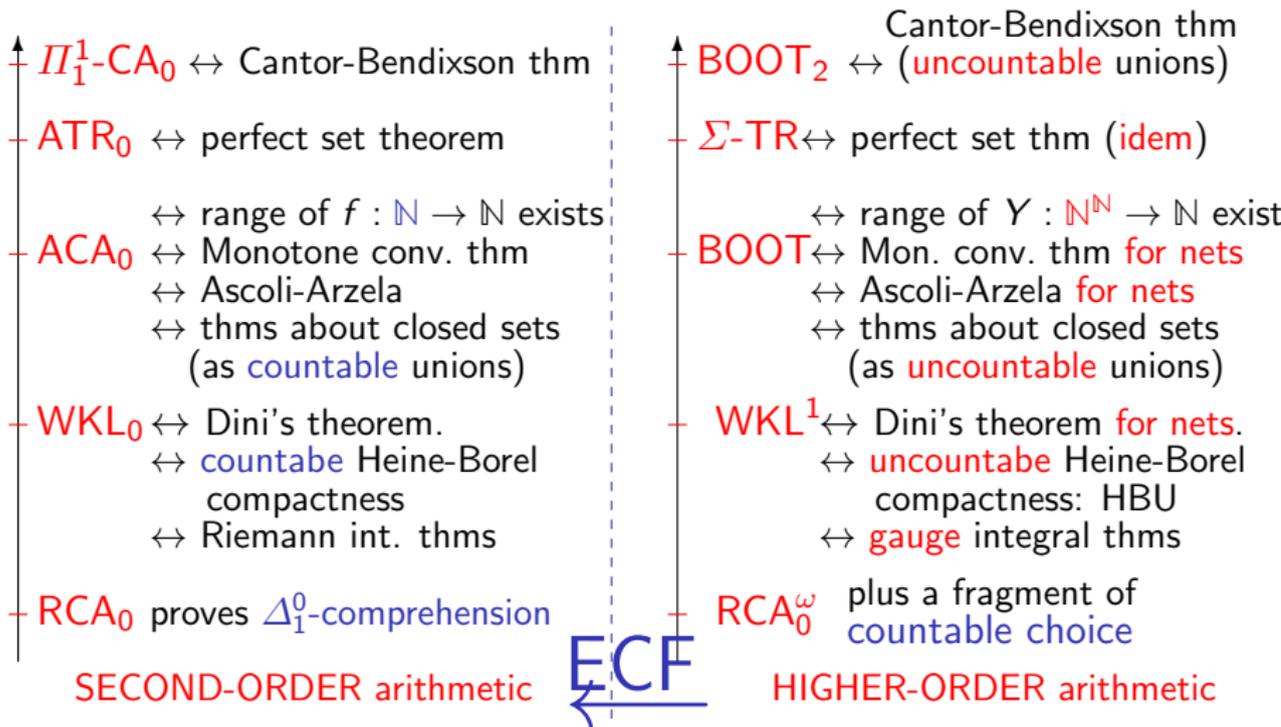


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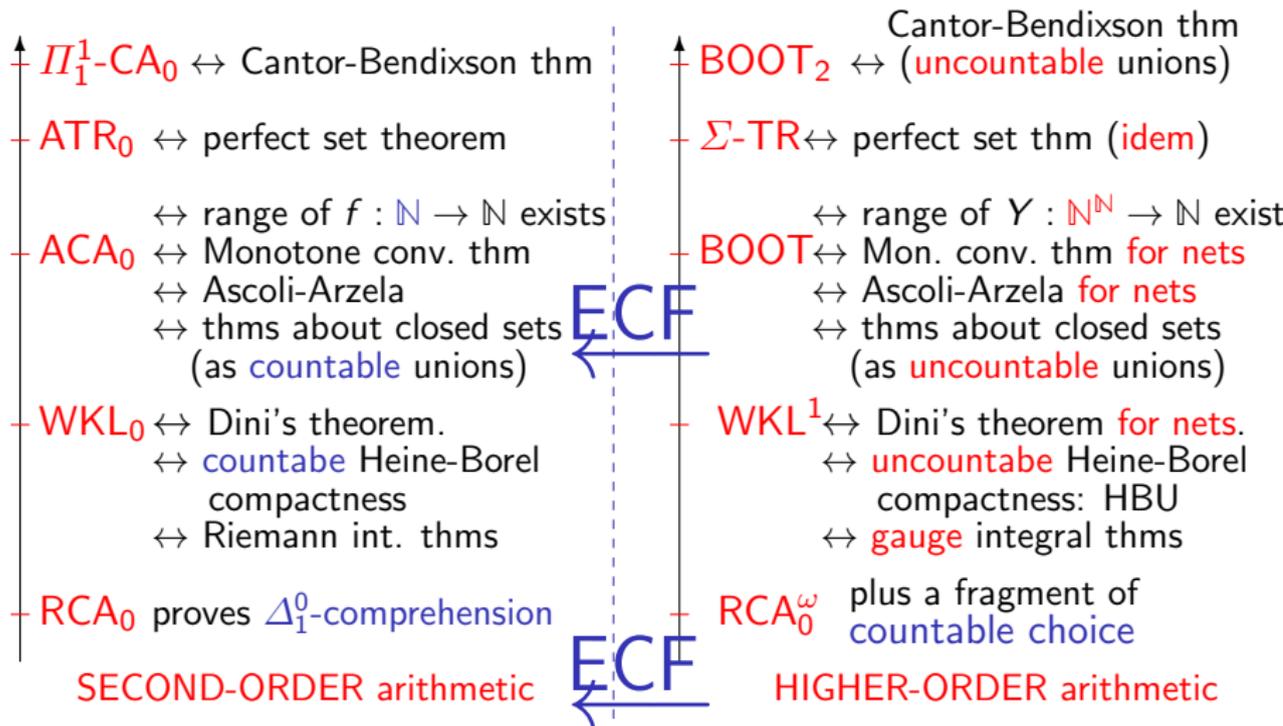
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ECF converts right-hand side to left-hand side, **including equivalences!**



Foundations/philosophy of mathematics

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I present the previous picture as evidence supporting Platonism.

Part I: hubris

oooooooo

Part II: catharsis

oooooooo

Part III: Brouwer and Plato

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To solve this problem, one adopts the **complimentary non-normal** scale based on classically valid **continuity** axioms (NFP) from Brouwer's intuitionistic mathematics.

In the spirit of Plato's cave, the Big Five of RM are a reflection of the non-normal scale under Kleene-Kreisel's ECF.

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Final Thoughts

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Any (content) questions?

Raphael's Annotated School of Athens

